Viral Oncogenes and Cellular Prototypes*

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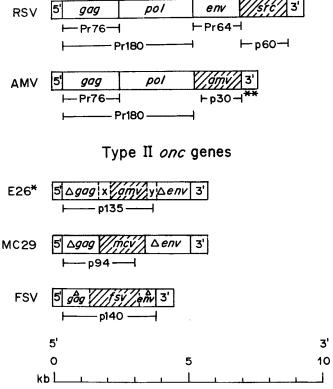
A. Summary

The structural hallmark of retroviral transforming onc genes is a specific RNA sequence that is unrelated to the essential retroviral genes but closely related to certain cellular prototypes termed proto-onc genes. Two types of onc genes have been distinguished. Type I are onc genes which utilize elements of specific sequences only to encode a transforming protein. Type II onc genes are hybrids which utilize essential viral (typically gag) and specific RNA sequences to encode transforming proteins. Comparisons between viral onc genes and cellular proto-onc genes are reviewed in the light of two competing models for protoonc function: the quantitative model, which holds that viral onc genes and cellular proto-onc genes are functionally the same and that transformation is the result of enhanced dosage of a cellular proto-onc gene; and the qualitative model, which holds that they are different. Structural comparisons between viral onc genes and cellular prototypes have demonstrated extensive sequence homologies in the primary structures of the specific sequences. However, qualitative differences exist in the structure and organization of viral onc genes and cellular prototypes. These include differences in promoters, minor differences in the primary structure of shared sequences, and absolute differences such as in the presence of sequences which are unique to viral onc genes or to corresponding cellular genetic units. For example, type II hybrid onc genes of retroviruses share only their specific but not their gag-related elements with the cell, and cellular proto-onc genes are interrupted by sequences of nonhomology relative to viral onc genes. In addition, proto-onc gene units may include unique cellular coding sequences not shared with viral onc genes. There is circumstantial evidence that some proto-onc genes are potentially oncogenic after activation (quantitative model) or modification (qualitative model). Activated by an adjacently integrated retroviral promotor, the cellular prototype of the onc gene of the avian acute leukemia virus MC29 was proposed to cause lymphoma and activated by ligation with viral promoter sequences two proto-onc DNAs, those of Moloney and Harvey sarcoma viruses, were found to transform mouse 3T3 cell lines. Mutations presumably conferred 3T3 cell-transforming ability to the proto-onc gene of Harvey sarcoma virus that has been isolated from a human bladder carcinoma cell line. In no case has an unaltered proto-onc as yet been shown to be necessary and sufficient for carcinogenesis. Despite this and structural differences between viral onc genes and cellular proto-onc genes, we cannot at present conclusively distinguish between the quantitative and the qualitative models because a genetic and functional definition of most viral onc genes and of all cellular prototypes of viral onc genes are not as yet available.

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B. Definition of onc Genes

Over 15 transforming onc genes have been identified in retroviruses since the discovery of the src gene of Rous Sarcoma virus (RSV) in 1970 [3, 8]. The only known function of onc genes is neoplastic transformation of normal cells to cancer cells. The structural hallmark of all retroviral onc genes is a specific RNA sequence that is unrelated to the three essential virion genes, gag, pol, and env. Thus, onc genes are not essential for retroviruses and instead may be viewed as molecular parasites. Retroviruses with onc genes are inevitably and immediately oncogenic in susceptible cells or animals. However, retroviruses with onc genes are rare and appear only sporadically in natural cancers [13, 37]. The majority of naturally occurring retroviruses lack onc genes and are therefore not directly oncogenic. Retroviruses without onc genes carry the three essential virion genes gag, pol, and env and



Type I *onc* genes

Fig. 1. Genetic structures of oncogenic avian retroviruses with two different types of onc genes: Type I onc genes utilize specific sequences unrelated to the three essential virion genes gag, pol, and env [8] to encode transforming proteins. Type II or hybrid onc genes utilize specific and virion genes, typically gag-related sequences, to encode transforming proteins. Boxes indicate the mass of viral RNAs in kilobases (kb) and segments with in boxes indicate map locations in kilobases of complete or partial (Δ) complements of gag and env, of the onc-specific sequences (hatched boxes) and of the noncoding regulatory sequences at the 5' and 3' end of viral RNAs. Dotted lines indicate that borders between genetic elements are uncertain. The three-letter code for onc-specific RNA sequences extends the one used previously by the authors: src represents the onc-specific RNA sequences of Rous sarcoma virus (RSV); fsv is that of Fujinami sarcoma virus (FSV); mcv that of the myelocytomotosis virus (MC29); and amv that of the Avian myeloblastosis virus (AMV), which is shared by erythroblastosis virus E26 [3, 4]. Recently, a different nomenclature has been proposed by others, i.e., myc (=mcv), myb (=amv), fps (=fsv) [40]. Lines and numbers under the boxes symbolize the complexities in kilodaltons of the precursors (Pr) for viral structural proteins and of the transformation-specific polyproteins (p). For E26 (*) a complete genetic map is not yet available. X and Y represent unidentified genetic elements of E26 [4]. The protein product of AMV (**) has only been identified in cell-free translation assays (Lee and Duesberg, unpublished), and the size of p30 is deduced from the proviral DNA sequence [29]. The size of the p94 protein of MC29 is deduced from the proviral DNA sequence (Papas et al., this volume) and is at variance with the p110 value reported previously [3]

are found primarily as nonpathogenic parasites which are transmitted horizontally, congenitally, or through the germ line in many animal species. However, certain animals, and, as recently shown, man (Gallo et al., this volume), which carry such viruses turn viremic and develop leukemias and other forms of cancer after long latent periods. Because of their association with leukemias these viruses are often referred to as leukemia viruses [3, 8, 13, 37, 40].

Only one viral onc gene, the src gene of RSV, is genetically defined by classical deletion and recombination analysis [3, 8]. The onc genes of all other retroviruses are associated with defective viruses which lack functional complements of all (or most) essential virion genes. Thus onc deletions of defective viruses are not functionally detectable and recombinants cannot readily be distinguished for lack of secondary markers. Consequently all viral onc genes except for src are not genetically defined.

Nevertheless, on the basis of structural and product analyses, two types of onc genes have been distinguished: Type I onc genes utilize their specific sequences and viral regulatory sequences to produce unique transforming proteins unrelated to other viral gene products (Fig. 1). Type II onc genes are hybrids containing specific sequences and elements of essential virion genes (typically from the gag gene, which encodes the core proteins of retroviruses). Together these elements encode hybridtransforming proteins, which are the basis for the definition of hybrid onc genes (Fig. 1) [21]. Examples of type I onc genes in the avian tumor virus group are the src gene of RSV, which encodes a p60 protein (protein of 60,000 daltons) with an associated kinase function, and the amv gene of avian myeloblastosis virus (AMV), which probably encodes a p30 protein (Fig. 1) [29]. Type II onc genes are encoded by defective viruses like the acute leukemia viruses MC29 and E26 and like Fujinami sarcoma virus (FSV). The type II onc genes of these viruses encode gag-related, nonstructural, and probably transforming proteins p94 (MC29), p135(E26), and p140(FSV) (Fig. 1).

To date *onc* genes have not been found in any other group of viruses, such as DNA tumor viruses, which when oncogenic appear to transform with essential virion genes [8]. Genes with exclusive oncogenic function have also not been identified in normal cells. However, genes with oncogenic potential have been isolated from cancer cells (see below).

C. The Qualitative and the Quantitative Model

Retroviruses with onc genes represent a paradox among viruses in that they appear only rarely in nature and there is no evidence for horizontal spread. Explanations were offered by the oncogene [15] and protovirus [36] hypotheses which stated that prototypes of onc genes exist in some latent form in normal cells and may be induced and transduced by retroviruses without onc genes. The original oncogene hypothesis was formulated in 1969, based on seroepidemiological evidence. Since reverse transcriptase and infectious proviral DNA [37, 40] had not yet been discovered, the hypothesis could not conclusively define the nature of cellular oncogenes and possible mechanisms of transduction by retroviruses. This was first attempted by the protovirus hypothesis [36] and subsequently by a revised oncogene hypothesis [36 a].

Using *onc*-specific hybridization probes to test this hypothesis, DNA sequences related to viral onc genes have been found in normal animal cells [12, 30, 33]. Some of these sequences, termed proto-onc genes, were shown to be highly conserved in different animal species including drosophila [31a, 32, 34]. However, the function of proto-onc genes is unknown and proto-onc genes, like most viral onc genes, have not as yet been genetically defined. Therefore efforts to elucidate the relationship between proto-onc genes and viral onc genes is, at this time, limited mainly to structural analyses. Analysis of functional relationships has to await genetic definition and functional identification of gene products.

There are two competing views of the role of proto-onc genes in normal cells: the *quantitative model*, which postulates that viral onc genes and cellular prototypes are the same and the transformation is due to enhaced gene dosage as a consequence of

virus infection [1, 2] and the qualitative model, which holds that viral onc genes and cellular prototypes are functionally different [3, 8, 10]. The quantitative model sees normal cells as potential cancer cells with switched off onc genes. The qualitative model postulates mutational change and possibly deletions of the coding sequence to convert a cellular gene into a viral onc, or possibly a non-viral cancer gene. Obviously the two views have very different implications for possible prevention and therapy of tumors caused by such genes, with the qualitative model offering better opportunities for a therapeutic approach. In the following we discuss studies to distinguish between the two models which focus on (a) structural comparisons of molecularly cloned cellular proto-onc genes and viral onc genes, (b) on measuring expression of proto-onc genes in normal and tumor cells, and (c) on testing morphological transforming function of cloned DNAs in transfection assays on cultured mouse 3T3 cell lines.

D. Structural Relationship Between Viral *onc* Genes and Cellular Prototypes

Structural comparisons at the nucleic acid sequence level between type I and type II viral *onc* genes and cellular prototypes of different avian tumor virus subgroups have provided the following insights:

The primary sequence of the type I src gene of RSV, and of proto-src, are very similar if compared by hybridization and heteroduplex analyses [19, 31, 33]. However, scattered single base changes are detected by mismatched regions in src RNAproto-src DNA hybrids [19]. By contrast, the organizations of viral and cellular src sequences are quite distinct. Heteroduplex analyses of molecularly cloned viral src DNA and cellular proto-src DNA show that the cellular sequence is interrupted by six to seven sequences of nonhomology compared with the viral counterpart [25, 31, 35]. If one assumes that (i) the coding sequences of the cellular proto-src locus and of viral src are the same and (ii) that the regions of nonhomology are noncoding

introns and (iii) that the single base changes reflect silent or conservative mutations, proto-src could have the same function as src. Since there is as yet no direct proof for these assumptions, one cannot clearly distinguish between the two models on a structural basis [3, 19]. Basically, the same limitations regarding a distinction between the two models also apply to structural comparisons of other type I onc genes with cellular prototypes.

For example, the *onc* gene of Moloney sarcoma virus, v-mos, was shown to contain five and its cellular prototype, c-mos, 21 unique 5' codons in addition to 369 codons shared by the two genes [26 a, 38 a].

Recently, we have compared the type II onc gene of MC29, the first hybrid onc gene identified in retroviruses [21], with its cellular prototype. A heteroduplex formed between molecularly cloned MC29 DNA and a molecular clone of the cellular prototype of the MC29-specific sequence shows that the specific sequence of 1.6 kb termed mcv has a complete counterpart in the cellular locus and that the cellular sequence is not flanked at its 5' end by a gag-related element (Fig. 2) [10, 28]. This has been confirmed by biochemical analyses [28]. The heteroduplex also shows that the proto-mcv sequence is interrupted by a l-kb sequence of nonhomology (Fig. 2). Thus, even if one assumes that the internal sequence of nonhomology is a noncoding intron (see Papas et al., this volume), the cellular proto-mcv could not encode the p94 *Agag-mcv* hybrid protein encoded by MC29 (Fig. 1).

The same appears to be true for the cellular prototype of the hybrid *onc* gene of FSV, which also lacks a Δgag element (Fig. 3). The cellular prototype of the FSV-specific sequence (*fsv*) is interrupted by only minor sequences of nonhomology if compared with the 5' 2 kb of the viral counterpart ([20]; Lee, Phares and Duesberg, unpublished). Since the cellular prototypes of type II *onc* genes are not linked to gag or other essential retroviral genes, it follows that type II hybrid *onc* genes are qualitatively different from their cellular prototypes.

Due to the absence of direct genetic and biochemical evidence it may be argued that the Δgag element of the hybrid *onc* genes found in MC29, FSV, E26 (Fig. 1), and

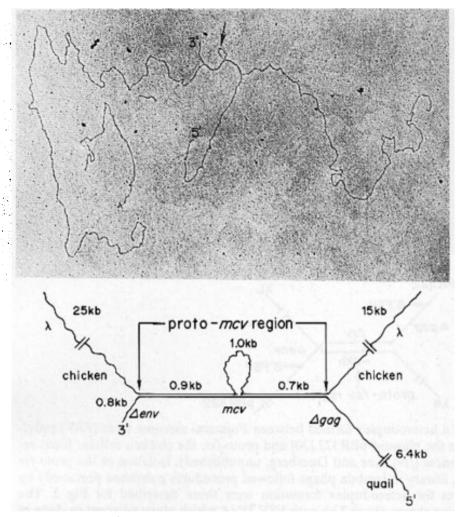


Fig. 2. Electron micrograph of a heteroduplex formed between a fragment of molecularly cloned MC29 proviral DNA and proto-mcv, the cellular MC29-related locus of the chicken cloned in lambda phage. Procedures for heteroduplex formation and analysis have been described [28]. The MC29 proviral DNA used was a restriction endonuclease EcoRI-resistant DNA fragment that extends from the 5' end of the viral DNA into Δenv (see Fig. 1 for a complete genetic map of MC29). DNA of the proto-mcv clone includes the MC29-related locus flanked by about 6–7 kb of chicken DNA at eigher side and then by the two arms of the lambda phage vector. The arrow marks the 1-kb sequence of nonhomology that interrupts the MC29-related sequence of proto-mcv. The diagram reports length measurements of the respective DNA regions of the heteroduplex in kilobases (data are from Duesberg et al. [10] and Robins et al. [28]

many other avian and murine acute leukemia and sarcoma viruses [3, 40] is not necessary for transforming function. However, several observations lend indirect support to a distinctive role for Δgag in hybrid onc genes: (a) The genetic $\Delta gag - x$ design is highly conserved in onc genes of different taxonomic groups of viruses [3, 40] consistent with a functional role of ∠gag in hybrid onc genes. In support of this view, Temin et al. have recently shown that gag may not be essential for packaging of some viral RNAs by helper virus proteins and thus would not necessarily be conserved for this purpose [38 b]. (b) Since Δgag together with the specific sequences of a

given oncogenic virus forms one genetic unit, i.e., the hybrid onc gene which is translated into one nonstructural, probable transforming protein, Δgag is also likely to play a direct role in onc gene function. If Δgag were not necessary for oncogenic function, viruses would have evolved where Δgag would not be translated, e.g., spliced out from a mRNA at the posttranscriptional level.

A distinctive role for $\triangle gag$ in onc gene function is illustrated by one peculiar pair of onc genes which share the same specific sequence but not $\triangle gag$. One of these, the onc gene of AMV, appears to utilize the specific sequence (amv) only to encode a

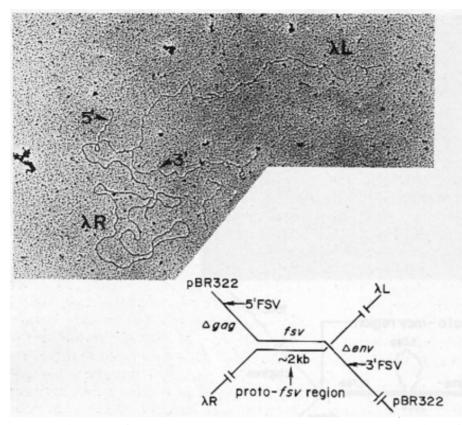


Fig. 3. Electron micrograph of a heteroduplex formed between Fujinami sarcoma virus (FSV) proviral DNA molecularly cloned in the plasmid pBR322 [20] and proto-*fsv*, the chicken cellular locus related to the FSV-specific sequences (*fsv*) (Lee and Duesberg, unpublished). Isolation of the proto-*fsv* sequence from a chicken DNA library in lambda phage followed procedures published previously by this laboratory [28]. Procedures for heteroduplex formation were those described for Fig. 2. The proto-*fsv* lambda phage used here shares about 2 kb with FSV DNA which maps adjacent to Δgag in FSV. The 2-kb region of the cellular proto-*fsv* locus appears colinear with its viral counterpart. It is as yet unclear whether proto-*fsv* represents all FSV-specific sequences, unrelated to essential retrovirus genes, or whether additional proto-*fsv* specific sequences exist that would map between the 2-kb region and Δenv of FSV ([20]; Lee, Phares and Duesberg, unpublished)

type I transforming protein although AMV contains a complete gag gene (Fig. 1) ([9, 29]; Papas et al., this volume). The other, the onc gene of E26, utilizes Δgag together with amv to encode a type II hybrid-transforming protein (Fig. 1) [4]. The different onc gene structures of AMV and E26 correspond to different oncogenic properties. AMV causes exclusively myeloblastosis and E26 causes primarily erythroblastosis [22]. Thus the onc genes of AMV and E26 have distinct functions consistent with distinct onc gene structures although they share a related specific sequence (amv). Extrapolating from this, one can imagine that the proto-amv sequence together with adjacent cellular information may be part of a gene with again a distinct cellular function. The same may be true for the functional relationship of all hybrid onc genes with their cellular homologs.

Further it appears that related viral onc genes and cellular prototypes may differ in the amount of a shared, specific sequence. For example, the specific sequences of the hybrid onc genes of MC29 and its relatives MH2 and CMII [3] or of Fujinami and PRCII sarcoma viruses [3, 17, 41] may differ as much as 30% from each other. Likewise the amv sequences of AMV and E26 differ in complexity, with E26 lacking both 5' and 3' amv sequences (Nunn and Duesberg, unpublished). This argues that subsets of a cellular sequence may be sufficient for transforming function as part of a viral transforming gene. By contrast the high degree of conservation of proto-onc genes in vertebrates and invertebrates [31a, 32, 34, 40] argues that all cellular sequences, related to a given class of viral hybrid onc genes, are necessary for their unknown cellular function including those sequences which are not shared by all viral onc genes of a given class.

Comparison with cellular prototypes indicates that hybrid *onc* genes have at least two essential structural domains one represented by the minimal complement of a given class of specific sequences shared with a cellular locus, the other by Δgag . Moreover, the cellular genes may in addition to the codons shared with viral *onc* genes consist of other cell-specific codons that together have a function that is different from viral *onc* genes. These differences suggest, but do not prove, that the products encoded by viral hybrid *onc* genes and the genes of the cellular proto-*onc* loci have different functional domains.

E. Expression and Biological Activity of Proto-onc Genes: Evidence for a Role in Carcinogenesis?

A direct assay of the function of cellular proto-onc genes is not yet available. In addition it has not as yet been possible to isolate proto-onc genes from normal cells that are directly oncogenic. Consequently, no cancer has as yet been shown to be caused by a proto-onc gene.

Nevertheless, there is circumstantial evidence that cellular proto-onc genes have oncogenic potential. For example, it has been speculated that proto-onc genes may be activated by promotors or enhancers of retroviruses without onc genes [14, 26]. Such promoters are encoded in viral LTRs, the terminal sequences of proviral DNA and may function like the promotors of bacterial IS-elements [29a]. Applied to retroviruses, the hypothesis states that such activation requires integration of the provirus adjacent to proto-onc and subsequent transcription of a hybrid mRNA which includes at its 5' end viral LTR sequences and cellular proto-onc sequences downstream [14, 38]. Thus, the viral promoter would activate cellular genes located downstream of the provirus. This hypothesis would explain how the rather ubiquitous retroviruses without onc genes may occasionally become oncogenic. If correct, this would lend direct support to the quantitative model.

Accordingly, virus-negative tumors [11] and tumors induced by nondefective re-

troviruses without onc genes have been screened for the expression of sequences related to viral onc genes [14, 16, 26]. Specifically, enhanced expression of proto-mcv (Fig. 2) by promoters of avian leukemia viruses without onc genes has been proposed to cause bursal lymphoma in chicken after latent periods of over 6 months [14]. However, this proposal raised a number of questions: (a) for example, why does activated proto-mcv not cause the acute myelocytomatosis, carcinoma, or sarcoma caused by MC29? This difference may signal qualitative differences between the functions of viral onc genes and the hypothetical oncogenic functions of cellular prototypes. These differences may reflect the structural differences, namely linkage of mev to Δgag in the viral but not in the cellular gene. It is recognized that this explanation implies that proto-mcv has potential oncogenic function, albeit different from the onc gene of MC29. However, evidence listed under (c) and (e) suggests that proto-mcv may neither be necessary nor sufficient for lymphomagenesis. (b) A recent reinvestigation of proto-mcv activation by avian leukemia viruses has revealed that activation also works upstream and as well as in the opposite polarity within a region of about 20 kb flanking proto-mcv [26]. Although this does not rule out activation of proto-mcv as the cause of the lymphoma, it rules out a common and orthodox mechanism to explain the reportedly causative activation of proto-mcv. (c) This work and the original study also raise the questions why proto-mcv activation was only observed in 80% of retroviral lymphomas and thus may not be a necessary condition for lymphoma and why the latent period for leukemia virus to cause bursal lymphoma would be at least 6 months [14]. Considering the high multiplicities of infection, the large number of bursal cells, and a complexity of 10⁶ kb of the chicken genome, a successful infection within 20 kb of proto-mcv should be a rather frequent event consistent with a short, rather than a long, latent period for leukemogenesis. (d) Furthermore, it is unclear why in other cases of viral leukemias, it has not been possible to demonstrate promotion of cellular genes [16] and why a correlation between neoplasia and enhanced expression of

known cellular proto-onc genes in a number of virus-negative human tumors cannot be demonstrated [11]. (e) An attempt to isolate directly the presumably activated oncogenic proto-mcv gene from bursal lymphoma cells has led to the detection of a transforming DNA that is unrelated to MC29 [5]. In these experiments DNA isolated directly from tumor cells has been tested for oncogenic function on the mouse fibroblast 3T3 cell line. Assuming that the 3T3 cell assay is suitable to detect a leukemogenic transforming gene, as has been suggested in some cases ([27]; Lane et al., this volume), this result means that proto-mcv was either not responsible for the bursal lymphoma at all [14] or that upon activation it played an indirect role. In the latter scenario, proto-mcv could mutate the cellular gene identified in the 3T3 assay to create a maintenance gene for lymphoblast transformation [5]. If correct, the experiments that detected proto-mcv activation in lymphoma [14] would have found a lymphoma initiation gene by searching for the presumed maintenance gene with a probe for the acute onc gene of MC29. It would appear that available evidence does not prove that proto-*mcv* activation is necessary or sufficient for lymphomagenesis.

There is circumstantial evidence that some other proto-onc genes become oncogenic upon activation. Using the techniques of DNA transfection two proto-onc genes, i.e., those related to the murine Moloney and Harvey or Kirsten sarvoma viruses, have been shown to transform mouse 3T3 cells after ligation to viral promoter LTR sequences derived from Moloney or Harvey sarcoma virus [6, 23]. Although this does imply that these proto-onc genes are potentially oncogenic, the relevance of this result to nonviral cancer is uncertain (a) because the cellular loci are not normally linked to viral LTRs and are only oncogenic after ligation with sarcoma viral LTRs, (b) because the genes of the proto-onc loci and their products are not yet genetically and biochemically defined and thus are not directly comparable to their viral counterparts, and (c) because to date the assay has been restricted to the 3T3 cell line, which is pre-neoplastic and transforms spontaneously or can be transformed by a large number of viral and nonviral DNAs [27, 39]. It is on

the basis of this assay that the structural differences between the v-mos and c-mos [26 a, 38 a] are considered functionally irrevelant [1]. Moreover, to date the same assay has not shown transformation potential for over a dozen other proto-onc sequences from normal cells including proto-src, which, upon transfection, was expressed at high levels in mouse cells yet failed to transform these cells morphologically (Shalloway and Cooper; Parker and Bishop, personal communication). In particular not a single prototype of a hybrid onc gene like proto-mcv was shown to have transforming function despite similar efforts (Robins and Vande Woude, personal communication).

Recently, DNA has been isolated directly from cell lines derived from human tumors and has been tested for oncogenic function in the 3T3 cell assay system. In some cases transforming DNA was extracted from bladder carcinoma cells with properties of a proto-onc gene. This DNA resembled the onc gene of Harvey and Kirsten sarcoma viruses [7, 24]. Since the DNA equivalent of normal cells did not transform 3T3 cells it would follow that a mutational change must have converted this human proto-onc gene to become active in the 3T3 cell assay. However, not all cell lines prepared from bladder tumors yielded active DNA, and DNA from primary tumors has not as yet been tested. It remains to be shown that the DNA that was active in the 3T3 cell assay also caused the original cancer.

It would follow that consistent with the qualitative model there is as yet no direct functional or genetic evidence to prove a direct role of proto-onc genes in carcinogenesis. Normal proto-onc genes have only been shown to be oncogenic on 3T3 cells after modification. In one case proto-onc genes were ligated to viral LTRs. In the other case mutation presumably conferred transforming ability to the proto-onc gene related to Harvey sarcoma virus isolated from a human bladder carcinoma cell line. Proto-types of type II onc genes have not as yet been positive in the 3T3 cell assay and the bursal lymphomas reportedly caused by activation of proto-*mcv* are qualitatively different from the tumors caused by the type II onc gene of MC29. Indeed, some recent results suggest that these lymphomas are maintained by a transforming gene that is unrelated to proto-*mcv*. Taken together these may be signals that viral *onc* genes and their cellular prototypes are qualitatively different.

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